

公正性与保密声明

Statement of fairness and confidentiality

根据《中华人民共和国产品质量法》、《中华人民共和国认证认可条例》、《认证机构管理办法》及相关认证认可标准、规范的要求，钛和认证（上海）有限公司是具有法人资格、独立承担民事责任、为社会各界提供认证服务的第三方认证机构。为保证认证工作的公正性、有效性和保密，本公司特作如下声明：

According to the Product Quality Law of People's Republic of China (PRC), Regulations on Certification and Accreditation of People's Republic of China (PRC), Measures for the Administration of Certification Institutions and the requirements of relevant certification and accreditation standards and norms, Ti Certification (Shanghai) Co., Ltd. is a third-party certification institution with legal personality, independently bearing civil liabilities and providing certification services for all sectors of society. In order to ensure the fairness, effectiveness and confidentiality of the certification work, the Company hereby makes the following statement:

1. TiCert. 充分理解公正性对认证活动的重要性，识别和管理可能的利益冲突，确保认证活动的客观、公正。TiCert. 的《公正性与保密声明》可公开获取。

TiCert. Fully understand the importance of fairness to certification activities, identify and manage possible conflicts of interest, and ensure the objectivity and fairness of certification activities. TiCert.'s Statement of Justice and Confidentiality is publicly available.

2. 利益冲突分析与威胁消除

conflict of interest analysis and threat elimination

- 通过对认证活动引起的利益冲突可能性的分析和识别，TiCert. 制定了《认证风险控制程序》，以确定可能引起利益冲突（包括潜在的）的各种威胁；
- Through the analysis and identification of the possibility of conflict of interest caused by certification activities, TiCert. formulated the Certification Risk Control Procedure to determine various threats that may cause conflicts of interest (including potential);
- TiCert. 将采取相应的措施，以消除或最大限度的减少各类威胁（包括因为其他个人、机构或组织的行为构成对公正性的威胁）；
- TiCert. Corresponding measures will be taken to eliminate or minimize all kinds of threats (including because the actions of other individuals, institutions or organizations constitute a threat to justice);

- TiCert.对利益冲突识别和相应措施采取的过程予以记录;
- TiCert. Record the process of conflict of interest identification and corresponding measures;
- TiCert.向公正性委员会提交利益冲突分析及威胁消除措施以审议;
- TiCert. Submit conflict of interest analysis and threat elimination measures to the impartiality committee for consideration;

3.TiCert.保证机构内部、其他个人、机构或组织的活动不会影响认证活动的可信性、客观性和公正性,且不提供(包括所属的法律实体的其他部分):

TiCert. Ensure that the activities within the organization and other individuals, institutions or organizations will not affect the credibility, objectivity and impartiality of the certification activities, and will not provide (including other parts of the legal entity to which it belongs):

- 可能对公正性构成不可接受威胁的认证;
- Certification that may pose an unacceptable threat to impartiality;
- 对另一认证机构进行管理体系认证;
- Certification of management system for another certification body;
- 管理体系咨询等主动或被动地咨询;
- Active or passive consultation such as management system consultation;
- 推荐管理体系咨询或提供管理体系咨询报价;
- Recommend management system consultation or provide management system consultation quotation;
- 对获证组织管理体系的内部审核。
- Internal audit of the management system of certified organizations.

且不是(包括所属的法律实体的其他部分):

And not (including other parts of the legal entity to which it belongs):

- 获证产品的设计者、制造商、安装者、分销者或维护者;
- Designers, manufacturers, installers, distributors or maintainers of certified products;
- 获证过程的设计者、实施者、操作者或维护者;
- The designer, implementer, operator or maintainer of the certification process;

- 获证服务的设计者、实施者、提供者或维护者；
- Designers, implementers, providers or maintainers of certified services;
- 审定与核查活动不能针对同一客户的同一宣称同时提供咨询和审定与核查服务；
- Validation and verification activities cannot provide consulting and validation and verification services at the same time for the same claim of the same customer;

4.TiCert.不与任何咨询机构存在资产利益、管理利益、人员利益关系，不将审核外包与管理体系咨询机构。当提供咨询服务的机构和 TiCert.的关系表现出对公正性存在不可接受的威胁时，TiCert.不对在宣称提供过咨询的客户开展审定与核查活动，也包括审定核查机构预先约定的潜在客户。

TiCert. There is no relationship between asset interests, management interests and personnel interests with any consulting organization, and the audit will not be outsourced to management system consulting organizations. When the relationship between the institution providing consulting services and TiCert. shows an unacceptable threat to fairness, TiCert. does not carry out validation and verification activities for customers who claim to have provided consulting services, including potential customers pre-agreed by the validation and verification institution.

5.TiCert.的市场开发或报价与管理体系咨询机构的活动无联系，当管理体系咨询机构宣称或暗示选择 TiCert.将使认真简单、容易、迅速或廉价时，TiCert.将立即制止，并向中国国家认证认可部门报告。TiCert.不宣称或暗示选择某咨询机构将使认证简单、容易、迅速或廉价。

The market development or quotation of TiCert. has nothing to do with the activities of the management system consulting organization. When the management system consulting organization declares or implies that choosing TiCert. will make it simple, easy, quick or cheap, TiCert. will immediately stop it and report to the national certification and accreditation department of China. TiCert. does not claim or imply that choosing a consulting agency will make certification simple, easy, fast or cheap.

6.TiCert.要求所有人员及时报告任何了解的可能使其个人或 TiCert.陷入利益冲突的情况，并根据识别、分析结果采取措施应对可能会对 TiCert.公正性产生威胁的利益冲突。在利益冲突未消除前，TiCert.不会使用相关人员参与任何认证活动。审定与核查活动对同一客户既提供审定又提供核查时，应考虑对公正性的潜在威胁（例如，自评和熟识），并且应相应地管理这种风险。

TiCert. All personnel are required to report in time any information that may lead them or TiCert. into conflicts of interest, and take measures to deal with conflicts of interest that may threaten the impartiality of TiCert. Based on the identification and analysis results. Until the conflict of interest is eliminated, TiCert. will not use relevant personnel to participate in any

certification activities. When the validation and verification activities provide both validation and verification for the same customer, the potential threat to fairness (for example, self-evaluation and familiarity) should be considered, and this risk should be managed accordingly.

7.TiCert.确保所有可以影响认证活动的人员或委员会公正行事，且不受可能影响认证结论的任何商业、财务和其他方面的压力。

TiCert. ensure that all personnel or committees that can influence the certification activities act fairly and are free from any commercial, financial and other pressures that may affect the certification conclusion.

8.TiCert.对认证活动引发的风险进行了评价，并将业务收入的一部分设立风险基金，以对由认证业务引发的责任做出充分安排。

TiCert. Evaluate the risks caused by certification activities, and set up a risk fund for part of the business income to fully arrange the responsibilities caused by certification business.

9.TiCert.确保具有一个能够按照合同规定的义务持续提供服务的合理财务预期。TiCert.以管理评审/财务审计报告等证据，向公正性委员会证实本机构的公正性不受任何商业、财务或其他方面压力的损害。

TiCert. ensure that there is a reasonable financial expectation that the service can be continuously provided in accordance with the obligations stipulated in the contract. TiCert. Prove to the impartiality committee that the impartiality of this institution is not harmed by any commercial, financial or other pressure with evidence such as management review/financial audit report.

10.TiCert.在认证活动中严格按照《认证机构管理办法》开展认证工作，严格执行 CCAA《认证机构公平竞争规范》及相关认证认可标准、规范的要求，承诺与其他认证机构展开公平竞争，确保认证全过程公正、公平，以品牌、信誉、质量、服务为主要竞争手段，着力提高认证的有效性。

TiCert. Carry out certification work in strict accordance with the Administrative Measures for Certification Institutions, strictly implement CCAA's Standards for Fair Competition of Certification Institutions and the requirements of relevant certification accreditation standards and norms, and promise to conduct fair competition with other certification institutions to ensure fairness and fairness in the whole certification process, with brand, reputation, quality and service as the main means of competition, and strive to improve the effectiveness of certification.

11.TiCert.遵守有关保密规定，维护委托方/受审核方的合法权益，本机构保密范围如下：

TiCert. Abide by the relevant confidentiality provisions and safeguard the legitimate rights and interests of the entrusting party/auditee. The confidentiality scope of this institution is as follows:

- 遵守国家法律、法规要求的保密范围；
- Abide by the confidentiality scope required by national laws and regulations;
- 认证活动中所知悉的国家机密、商业机密和技术机密；
- State secrets, commercial secrets and technical secrets known in certification activities;
- 委托方/受审核方在经营、管理、技术方面的信息（委托方/受审核方已公开、或与委托方/受审核方商定公开的文件和信息以及法律要求等除外）；
- Information on the operation, management and technology of the entrusting party/auditee (except documents and information that have been made public by the entrusting party/auditee or agreed with the entrusting party/auditee and legal requirements, etc.);
- 从其他来源（如投诉人、监管机构）获得的关于客户的信息对客户保密。该信息的提供者（来源）应按保密信息处理，未经提供者同意，不应与客户分享；
- Information about customers obtained from other sources (such as complainants and regulatory agencies) is confidential to customers. The provider (source) of this information should be treated as confidential information and should not be shared with customers without the consent of the provider;
- 已签订认证合同的有关信息和通过认证前的进度访问动态；
- Information about the signed certification contract and the progress of access before passing the certification;
- 认证审核过程的文件、资料、记录。
- Documents, materials and records of the certification audit process.

12.所有 TiCert.获得的信息，无论是关于在申请客户还是注册客户的信息，除非在认可机构的要求下，其他任何情况都不得向第三方进行透露。

All the information obtained by TiCert, whether about the applicant or registered customer, shall not be disclosed to a third party unless required by an authorized institution.

13.应法律方面、国家认证认可监督管理部门、认可机构、中国认证认可协会、国家有关政府部门的要求需要提供的与认证有关的信息和审核资料除外。

Except for the certification-related information and audit materials that need to be provided at the request of laws, national certification and accreditation supervision and management departments, accreditation bodies, China Certification and Accreditation Association and relevant state government departments.

14.委托方/受审核方有权提出必要的保密、禁入区域等方面要求，并要求审核人员执行保密承诺。

The entrusting party/auditee has the right to put forward necessary requirements on confidentiality, forbidden areas, etc., and require auditors to carry out confidentiality commitments.

承诺单位：钛和认证（上海）有限公司

Commitment unit: Ti Certification (Shanghai) Co., Ltd.

法人代表：郑希俊

Legal Representative: Zheng Xijun

总经理：杨坤

General Manager: Eric Yang